Case Docket No. DB 01-8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 7/8/02

1c971 U.S. PTO 09/954535 09/18/01

IN RE APPLICATION OF:

PETER ANTHONY FARRAR ET AL.

**GROUP ART UNIT:** 

SERIAL NO:

September 18, 2001

FILED:

TITLE: APPARATUS FOR HOLDING A COMPACT DISK

## INFORMATION DISCLOSURE STATEMENT UNDER SECTION 37 CFR 1.97

Commissioner of Patents and Trademarks Washington, DC 20231

Sir:

In compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98, the documents identified on the accompanying Form PTO-1449 are submitted for the Examiner's consideration.

One of these documents, U.S. Patent No. 5,788,068, is referenced in Applicants' specification by the related PCT publication number, WO-A-96/14636. The other PCT application cited in Applicants' specification relates to U.S. Application Serial No. 09/180,073, which is currently pending.

## **CERTIFICATE OF DEPOSIT**

I hereby certify that this paper is being deposited with the United States Patent and Trademark Office in an envelope addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231, on

Date of Deposit

Date of Signature

In re Application of BAUER Application Serial No. 09/791,886 Attorney Docket No. LRL 97-5

It is respectfully requested that the Examiner initial and return a copy of this form, and indicate in the official file wrapper of this patent application that the documents have been considered.

Applicants reserves the right to establish the patentability of the presently claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This Information Disclosure Statement is being filed either within three (3) months of the filing date OR before mailing of a first Office action on the merits. Accordingly, no certification or fee is required.

Respectfully submitted,

Deanna L. Baxana

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